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DOCKET NO.: 21829/230 (EBC-015)  
EXPRESS MAIL NO.: EL801321839US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
(*only for new nonprovisional applications under 37 CFR 1.53(b)*)

U.S. Patent and Trademark Office  
P.O. Box 2327  
Box: Patent Application  
Arlington, VA 22202

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, and Abstract, 70 pages) of:

Inventor : **Zhong-Min Wei**

For : **METHOD OF IMPARTING DROUGHT RESISTANCE TO PLANTS**

*\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☒ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)  
of prior application Serial No. **09/597,840**

Prior application information: Examiner : **To Be Assigned**  
Art Unit : **To Be Assigned**

Enclosed are:

- ☒ 2 sheets of formal drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (\_\_\_\_ pages).
- ☐ **Copies of signed** Combined Declaration and Power of Attorney (4 pages total) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (\_\_\_\_ pages) of the invention to \_\_\_\_\_.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)

- ☐ Preliminary Amendment (\_\_\_ pages) with Appendix (\_\_\_ page).
- ☒ Information Disclosure Statement, form PTO-1449 (12 pages) and 160 references (not attached).
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (2 pages).
- ☒ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ Sequence Listing (10 pages).
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

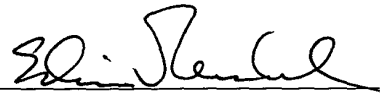
The Filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$370	OR	XXXX	\$740
TOTAL CLAIMS	9 - 20 =	0	x 9 =	\$0	OR	x 18 =	\$
INDEP CLAIMS	1 - 3 =	0	x 40 =	\$0	OR	X80 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x135 =	\$	OR	x270 =	\$
*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2			TOTAL	\$370	OR	TOTAL	\$

- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. **A duplicate copy of this sheet is enclosed.**
- ☒ A check in the amount of **\$370.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

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Date: December 20, 2001

  
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**EXPRESS MAIL CERTIFICATE**


DOCKET NO.: **21829/230 (EBC-015)**  
APPLICANT: **Zhong-Min Wei**  
TITLE: **METHOD OF IMPARTING DROUGHT RESISTANCE TO PLANTS**

Certificate is attached to the copy of the **Formal Drawings (2 pages)** of the above-named application.

EXPRESS MAIL NUMBER: **EL801321839US**  
DATE OF DEPOSIT: **December 20, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, Box: Patent Application, Arlington, VA 22202.

**Wendy L. Harrold**  
(Typed or printed name of person  
mailing paper or fee)

  
(Signature of person mailing paper  
or fee)

**EXPRESS MAIL CERTIFICATE**


DOCKET NO.: **21829/230 (EBC-015)**  
APPLICANT: **Zhong-Min Wei**  
TITLE: **METHOD OF IMPARTING DROUGHT RESISTANCE TO PLANTS**

Certificate is attached to the **Statement in Accordance with 37 CFR 1.821(f) and computer readable 3.5" Diskette** of the above-named application.

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**Wendy L. Harrold**  
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mailing paper or fee)

  
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DOCKET NO.: 21829/230 (EBC-015)  
APPLICANT: Zhong-Min Wei  
TITLE: **METHOD OF IMPARTING DROUGHT RESISTANCE TO PLANTS**

Certificate is attached to the **Patent Application including specification, claims, and abstract (70 pages), UNSIGNED Combined Declaration and Power of Attorney (2 pages), and Sequence Listing (10 pages)** of the above-named application.

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DOCKET NO.: **21829/230 (EBC-015)**  
APPLICANT: **Zhong-Min Wei**  
TITLE: **METHOD OF IMPARTING DROUGHT RESISTANCE TO PLANTS**

Certificate is attached to the **Utility Patent Application Transmittal Letter (2 pages)** of the above-named application.

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DATE OF DEPOSIT: **December 20, 2001**

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